

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Ran-Fun CHIU

Confirmation No.:

Application No.:

Examiner:

Filing Date:

Group Art Unit:

Title: METHODS FOR ADDRESS AND NAME DISCOVERY FOR ETHERNET ENTITIES

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EU687335372US**

Date of Deposit **03/15/2004**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: **Daniel Ratoff**

Respectfully submitted,

**Ran-Fun CHIU**

By

**Derek J. Westberg**

Attorney/Agent for Applicant(s)

Reg. No. **40,872**

Date: **03/15/2004**

**PATENT APPLICATION**

Sheet 1 of 2

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>200402500-1</b>	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT <b>Ran-Fun CHIU</b>		
	FILING DATE <b>03/15/2004</b>	GROUP	

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,491,812-A	02/13/1996	Pisello et al.	
	1B	5,642,337-A	06/24/1997	Oskay et al.	
	1C	6,295,572-B1	09/25/2001	Wu	
	1D	6,330,615-B1	12/11/2001	Gioquindo et al.	
	1E	6,343,083-B1	01/29/2002	Mendelson et al.	
	1F	6,400,730-B1	06/04/2002	Latif et al.	
	1G	6,470,397-B1	10/22/2002	Shah et al.	
	1H	6,658,459-B1	12/02/2003	Kwan et al.	
	1I	2003/0026267	02/06/2003	Oberman et al.	
	1J	2003/0118053	06/26/2003	Edsall et al.	
	1K				

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

1Q	Ira WINSTON, Two Methods for the Transmission of IP Datagrams over IEEE 802.3 Networks, Request for Coments: 948, Network Working Group, June 1985.
1R	Julian SATRAN, Kalman, METH, Costa, SAPUNTZAKIS, Mallikarjun CHADALAPAKA, Efri, Zeidner, iSCSI, Internet Draft, work in progress, The Internet Society, IP Storage Working Group, January 19, 2003.
1S	National Committee for Information Technology Standards, X3T9.2 Task Group, Lawrence J. LAMERS (editor), Information technology - Small Computer System Interface - 2, Project 375D, Revision 10L, Working Draft, ANSI X3.131 - 199x, September 7, 1993. < http://www.t10.org/ftp/t10/drafts/s2/s2-r10l.pdf >

EXAMINER

DATE CONSIDERED

**PATENT APPLICATION**

Sheet 2 of 2

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
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	Ran-Fun CHIU		
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**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A				
	2B				
	2C				
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

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	2L					
	2M					
	2N					
	2O					
	2P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

2Q	National Committee for Information Technology Standards, T10 Technical Committee, SCSI Storage Interfaces, Ralph O. Weber (editor), Information technology - SCSI Architecture Model - 2 (SAM-2), Project 1157-D, Revision 15, November 9, 2000. < <a href="http://www.t10.org/ftp/t10/drafts/sam2/sam2r15.pdf">http://www.t10.org/ftp/t10/drafts/sam2/sam2r15.pdf</a> >
2R	
2S	

EXAMINER	DATE CONSIDERED
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